

# Minimum principles for characterizing the trajectories and microstructural evolution of dissipative systems

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SES 2008

Champagne, II, October 13, 2008



# Systems with evolving microstructure

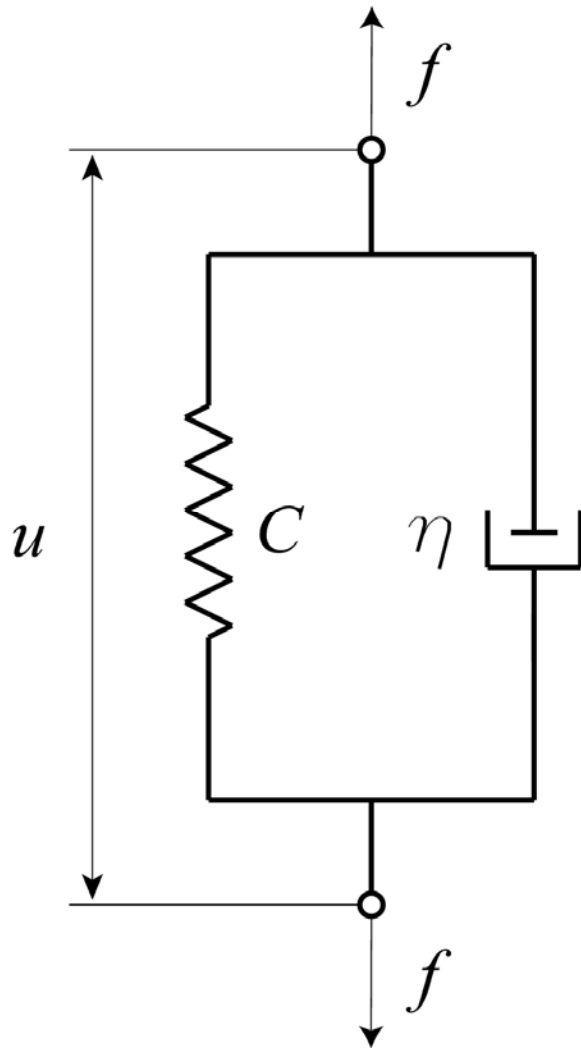
- The behavior of the systems of interest is governed by both energy and kinetics, e. g., through an **equation of evolution** of the form

$$\partial\Psi(\dot{u}) + DE(t, u) = 0, \begin{cases} \Psi \equiv \text{dissipation potential} \\ E \equiv \text{energy} \end{cases}$$

- However: Energies of interest often lack differentiability and lower-semicontinuity
- Meaning of equation of evolution, 'solutions'?
- Effective macroscopic kinetics?
- Wanted: a theoretical framework that extends CoV to dissipative problems...



# Classical rate variational problems



- Potential energy:

$$E(t, u) = \frac{C}{2}u^2 - f(t)u$$

- Dissipation potential:  $\Psi(v) = \frac{\eta}{2}v^2$

- Rate potential:

$$G(t, u, v) \equiv \Psi(v) + DE(t, u) v$$

- Rate problem: Given  $t, u,$

$$\min_v G(t, u, v)$$

- Euler-Lagrange equations:

$$\partial\Psi(v) + DE(t, u) = 0$$



# Energy-dissipation functionals

- Energy-dissipation functional: For  $\epsilon > 0$ ,

$$F_\epsilon(u) = \int_0^T e^{-t/\epsilon} G(t, u, \dot{u}) dt$$

- Minimum principle:  $\mathbb{Y} = \{u : [0, T] \rightarrow Y\}$ ,

$$\inf_{u \in \mathbb{Y}} F_\epsilon(u)$$

- Euler-Lagrange eqs.,  $G(u, \dot{u}) = \Psi(\dot{u}) + DE(u)\dot{u}$ ,

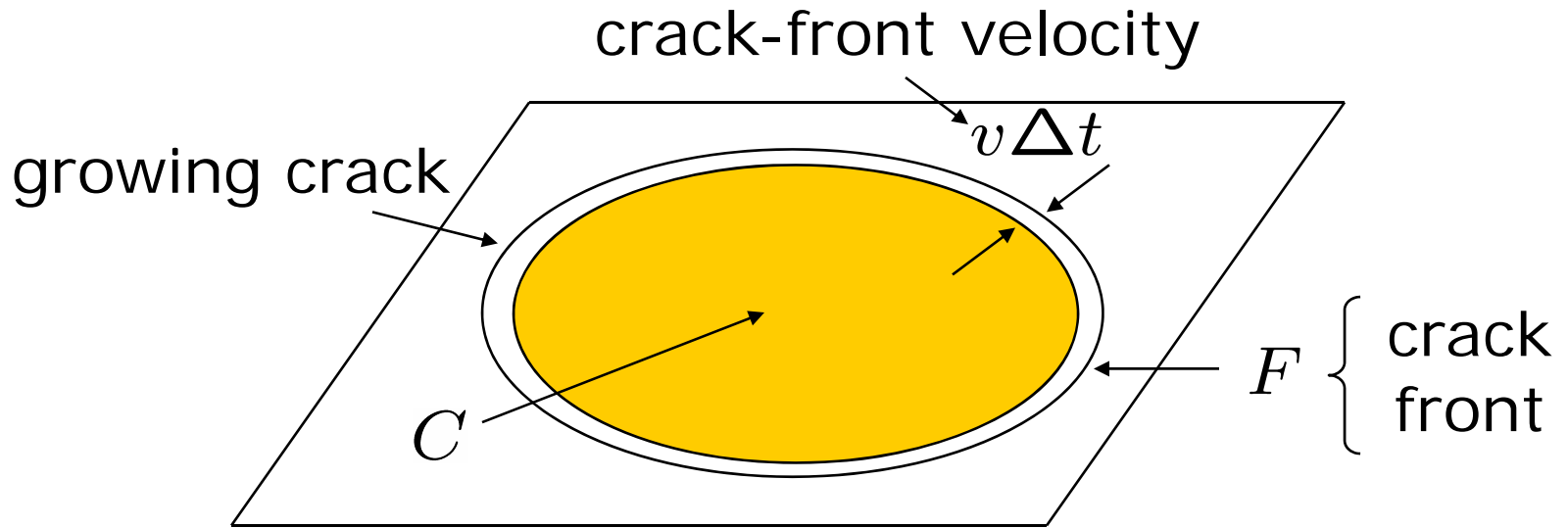
$$\underline{-\epsilon D^2 \Psi(\dot{u}) \ddot{u} + D\Psi(\dot{u}) + DE(t, u) = 0}$$

- Relaxation:  $sc^- F_\epsilon(u) \stackrel{?}{=} \int_0^T e^{-t/\epsilon} \underline{\bar{G}_\epsilon(t, u, \dot{u})} dt$

- Causal limit:  $\bar{G}_\epsilon \rightarrow \bar{G}$  as  $\epsilon \rightarrow 0$ ?



# LEFM energy-dissipation functionals

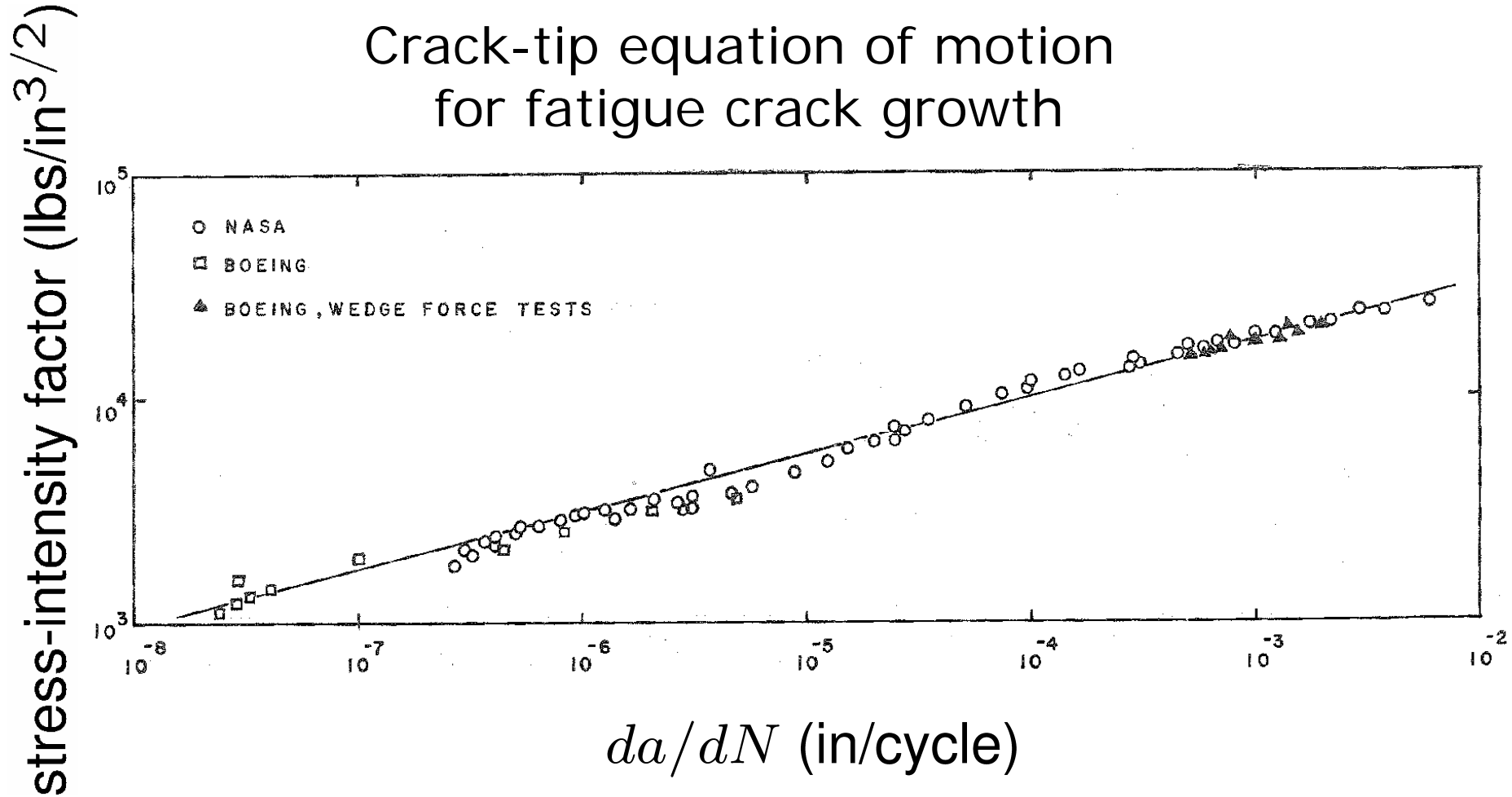


- Energy:  $E(u) = \int_{\Omega} W(\nabla u) dx + \text{forcing terms}$
- Dissipation:  $\Psi(v) = \int_F \psi(v) d\mathcal{H}^{n-2}$
- Crack front  $F$ , velocity  $v$ , defined distributionally



# The rate problem of LEFM

Crack-tip equation of motion  
for fatigue crack growth

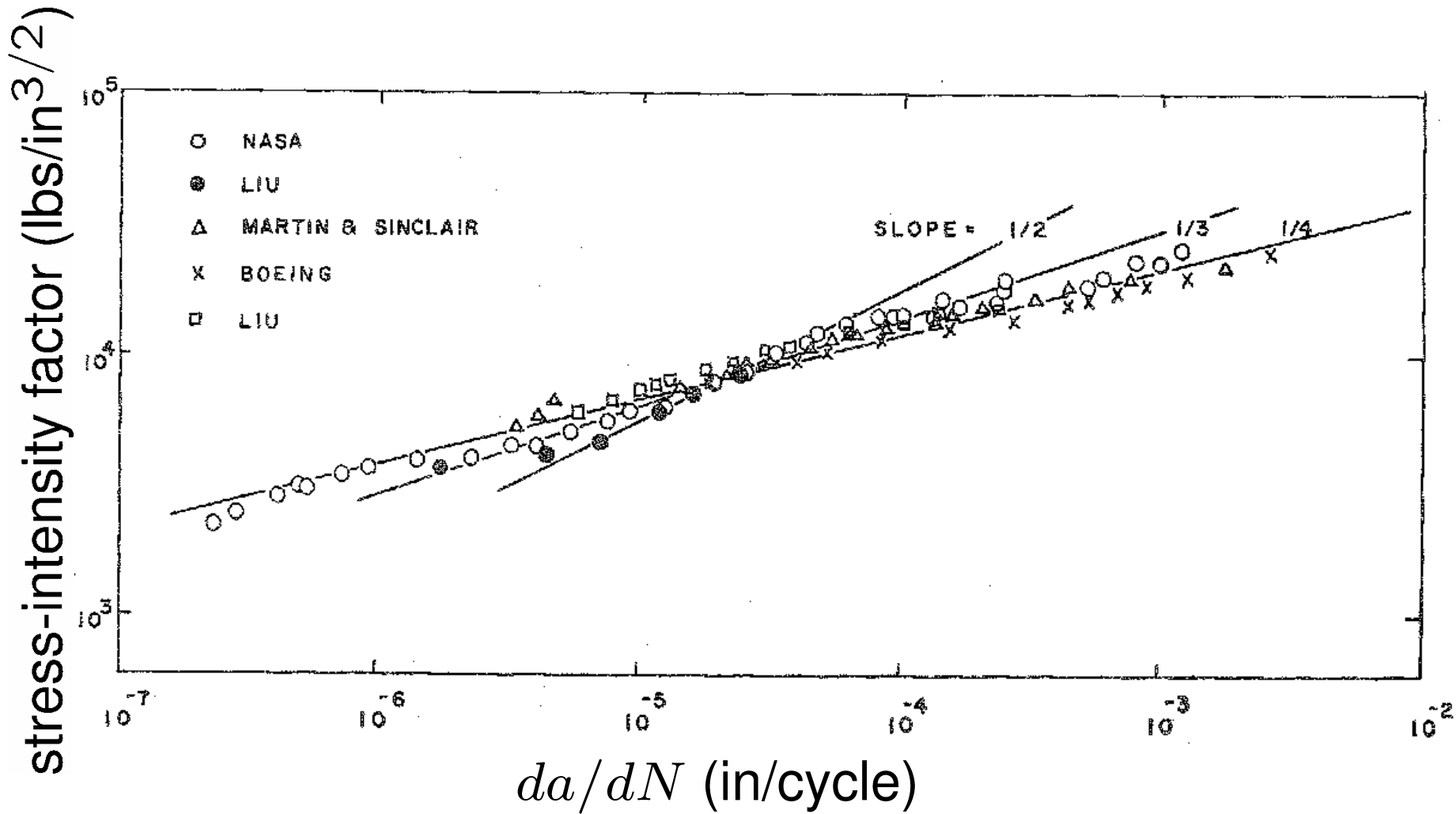


Crack-growth data for 2024-T3 aluminum alloy  
(P. Paris and F. Erdogan, ASME Trans (1963))

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# The rate problem of LEFM



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# LEFM energy-dissipation functionals

- Dissipation:  $\Psi = \int_{F(t)} (\alpha + v^p) d\mathcal{H}^{n-2}$

nucleation energy  $\uparrow$   $\uparrow$   
 rate-dependent crack-tip equation of motion

- Energy-dissipation functional:  $F_\epsilon(u) :=$

$$\int_0^T e^{-t/\epsilon} \left\{ \int_{F(t)} (\alpha + v^p) d\mathcal{H}^{n-2} + \frac{1}{\epsilon} \int_\Omega W(\nabla u) dx \right\} dt$$

- Trajectories:  $\mathbb{Y} \sim \{u(t) \in SBV_p(\Omega), \text{crack increasing}\}$

- Variational problem:  $\inf_{u \in \mathbb{Y}} F_\epsilon(u)$





# LEFM energy-dissipation functionals

**Theorem** (C. Larsen, MO, C.L. Richardson) *The lower semicontinuous envelop of  $F_\epsilon$  in  $\mathbb{Y}$  is:*

$$sc^- F_\epsilon(u) = \int_0^T e^{-t/\epsilon} \left\{ \frac{1}{\epsilon} \int_\Omega W(\nabla u) dx + \gamma \int_{F(t)} v d\mathcal{H}^{n-2} \right\} dt$$

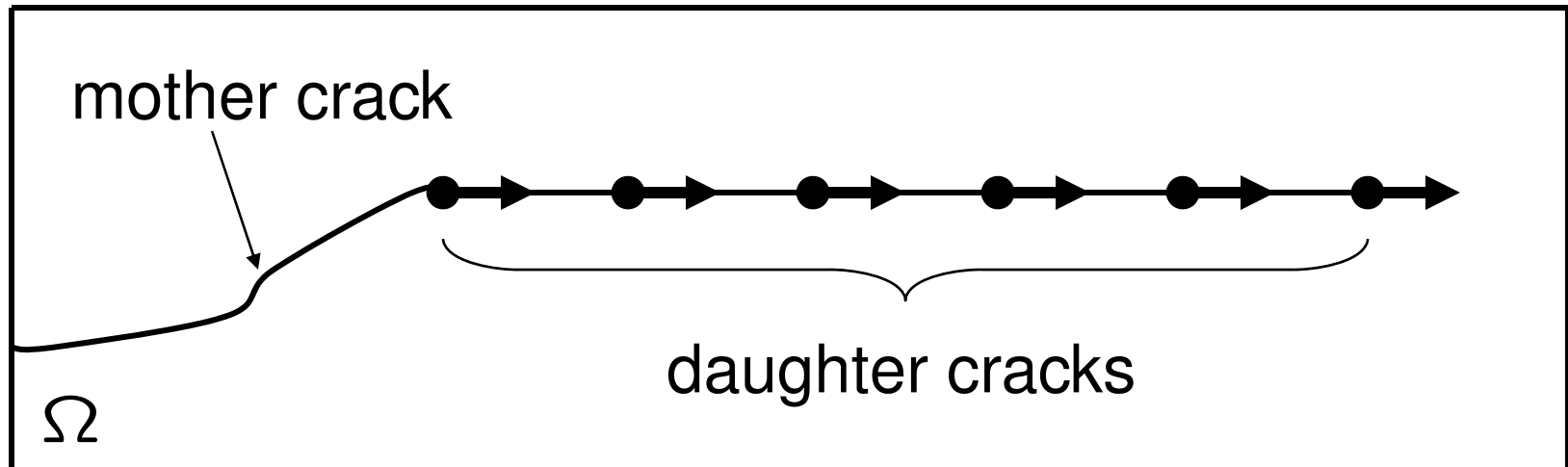
where:  $\gamma = p \left( \frac{\alpha}{p-1} \right)^{\frac{p-1}{p}}$

- Relaxed energy-dissipation functional is **rate-independent!** (Griffith brittle fracture)



# LEFM energy-dissipation functionals

**Sketch of proof:** Mother-daughter mechanism:



- Twin daughters (optimal by Jensen's inequality):

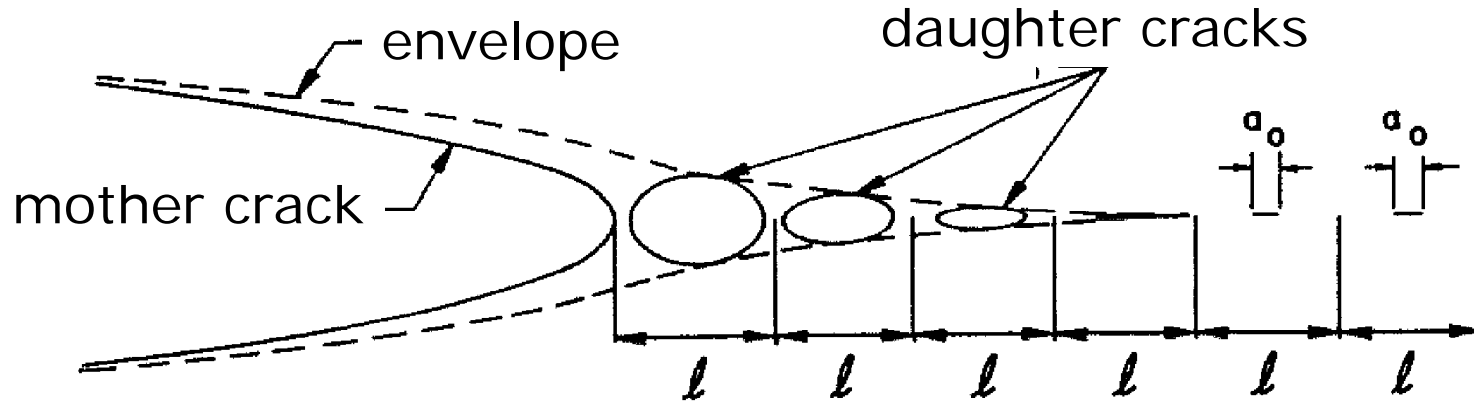
$$\Psi = n\alpha + n \left( \frac{v}{n} \right)^p \rightarrow \min \Rightarrow$$

$$n_{\min} = \left( \frac{p-1}{\alpha} \right)^{(1/p)} v, \quad \Psi_{\min} = p \left( \frac{\alpha}{p-1} \right)^{(1-1/p)} v$$

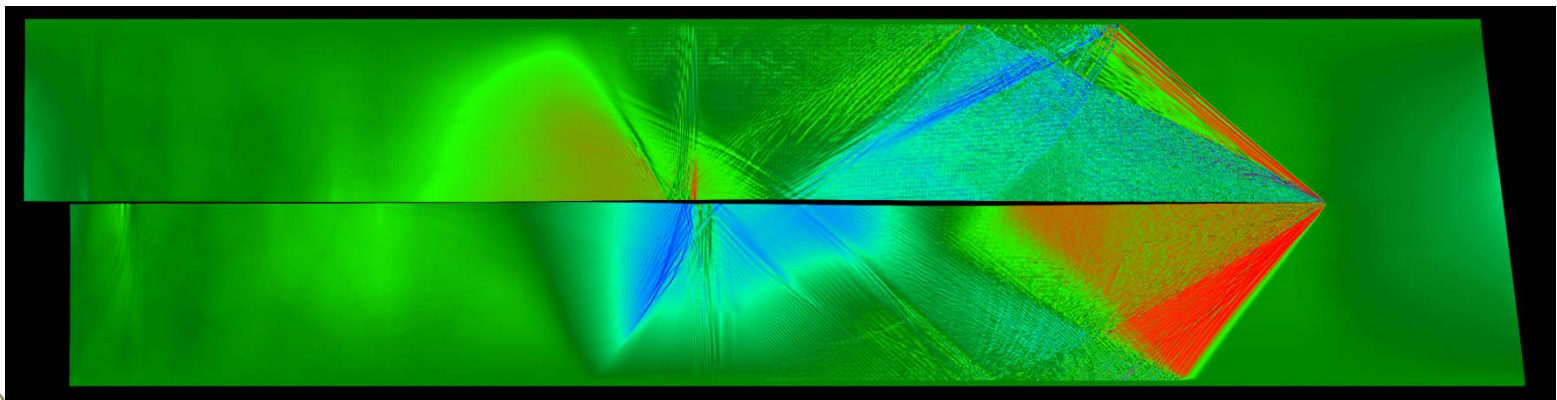


# LEFM energy-dissipation functionals

- The mother-daughter crack mechanism:



(Ortiz, *IJSS*, 1988)



(F. Abraham, M. Buehler, H. Gao...)

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# Concluding remarks

- Energy-dissipation functionals provide a useful tool for understanding microstructure evolution within the framework of the calculus of variations.
- They help to identify the 'effective' kinetics and energetics of systems that exhibit evolving microstructure
- Recovery sequences yield insight into microstructural evolution mechanisms
- Mother-daughter mechanism beats crack-front rate-dependency in fracture mechanics
- Causal limit?
- Inertia?

